

# Coloring 1

1

Question: 1) Assume  $\chi(G) = 1$ .

What kind of graph is  $G$ ?

2) Assume  $\chi'(G) = 1$

Observation:  $\chi(G) \geq \frac{|G|}{\alpha(G)}$

Why? Let  $c$  be a coloring of  $G$  with  $\chi(G)$  colors from  $\{1, 2, \dots, \chi(G)\}$  and let  $S_i := c^{-1}(\{i\})$ ,  $\forall i \in \overline{1, \chi(G)}$ .

Then  $V(G) = \bigcup_{i=1}^{\chi(G)} S_i \Rightarrow |G| = |V(G)| = \sum_{i=1}^{\chi(G)} |S_i| \leq \chi(G) \alpha(G)$

$\Rightarrow \chi(G) \geq \frac{|G|}{\alpha(G)}$

Question: How good is the bound above?

In general this bound can be arbitrarily bad, just as the clique number bound  $\rightarrow$  exercises

There are particular cases in which the bound is tight, e.g.

$K_n$  or  $K_{n,n}$



Def let  $c$  be a feasible  $k$ -colouring of  $G$ , (2)

$c: V \rightarrow \{1, 2, \dots, k\}$ . A color class is a maximal set of vertices colored by the same color (maximal with respect to set inclusion), i.e. the color classes are  $c^{-1}(\{i\})$ ,  $\forall i \in \overline{1, k}$ .

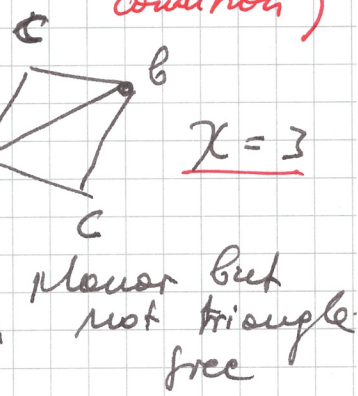
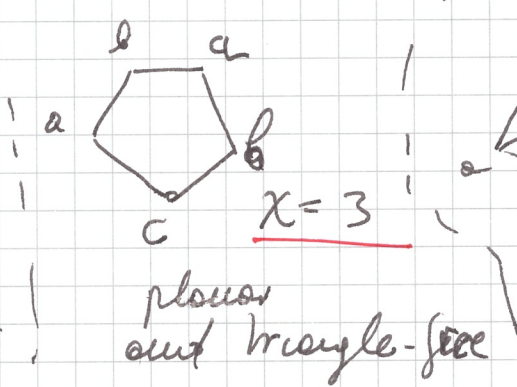
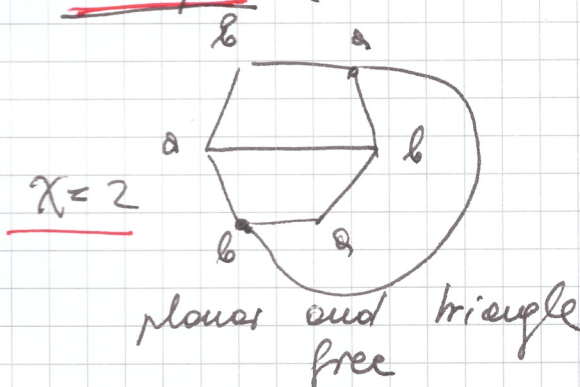
Clearly  $c^{-1}(\{i\})$  is a stable set in  $G$  and  $c^{-1}(\{1\}), c^{-1}(\{2\}), \dots, c^{-1}(\{k\})$  is a partition of  $V(G)$  with cardinality  $k$ . In particular <sup>there exist</sup> ~~we can find~~ such a partition of  $V(G)$  in stable sets with cardinality  $\chi(G)$ .

Thus we obtain an equivalent definition of  $\chi(G)$ :

Def  $\chi(G)$  is the smallest number of stable sets in  $G$  in which  $V(G)$  can be partitioned, or equivalently  $\chi(G)$  is the smallest number of cliques in  $G^c$  in which  $V(G)$  can be partitioned.

The latter number is called clique partition number of  $G^c$ , denoted by  $\theta(G^c)$ . Thus  $\chi(G) = \theta(G^c)$ .

Examples (related to Brötschel's theorem) (only a sufficient condition)





### Proposition 3 (An upper bound)

3

# graph  $G$ :  $\chi(G) \leq \frac{1}{2} + \sqrt{2m + \frac{1}{4}}$  where  $m := |E(G)|$

Proof let  $c$  be a coloring with  $\chi(G) =: k$  colors.

Then  $G$  has at least between any two color classes, otherwise we could use the same color for both

color classes. Since there are  $\frac{k(k-1)}{2}$  pairs of

color classes we get  $m \geq \frac{k(k-1)}{2} \Rightarrow 2m \geq (k-1)k$ .

Solve this inequality for  $k$ :  $k^2 - k - 2m \leq 0$

The roots of  $k^2 - k - 2m = 0$  are  $k_1, k_2 = \frac{1 \pm \sqrt{1+8m}}{2}$

and only for  $k \in (k_1, k_2)$  we get  $k^2 - k - 2m < 0$

Thus  $k^2 - k - 2m \leq 0 \Rightarrow k \leq k_2 = \frac{1 + \sqrt{1+8m}}{2} = \frac{1}{2} + \sqrt{2m + \frac{1}{4}}$

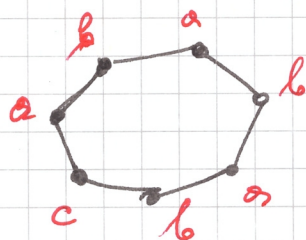


### Remarks on the greedy algorithm for vertex coloring

(1) GREEDY never uses more than  $\Delta(G) + 1$  colors  
(frivolous the vertex to be colored cannot have more than  $\Delta(G)$  neighbors among the already colored vertices)

(2) GREEDY colors  $K_n$  with  $n$  colors (trivial)

GREEDY on  $C_{2k+1}$



(3)  $\Delta(G) + 1$  is a loose bound for  $\chi(G)$  and also for the nr. of colors used by GREEDY  $\rightarrow$  exercises



(4)

Equivalent definition of the coloring number:

The smallest possible number of colors,  $\chi(G)$ , used by ~~the~~ GREEDY applied for any ordering of the vertices of the graph.

Proposition 4,  $\chi(G) \leq \text{col}(G) = \max \{ \delta(H) : H \subseteq G \} + 1$

Proof:  $\chi(G) \leq \text{col}(G)$  is trivial because there exists a feasible coloring with  $\text{col}(G)$  colors

so we show  $\text{col}(G) = \max \{ \delta(H) : H \subseteq G \} + 1$ .

" $\leq$ " The enumeration / order of vertices mentioned above (in the equiv. def of  $\text{col}(G)$ ) show that  $\text{col}(G) \leq \max \{ \delta(H) : H \subseteq G \} + 1$  (framework)

" $\geq$ "  $\text{col}(G) \geq \text{col}(H)$  holds  $\forall H \subseteq G$   
(by applying GREEDY in  $H$  with the same order of vertices as in  $G$ , the number of ~~used~~ colors used in  $H$  can only decrease as compared to the nr. of used colors in  $G$ )

Moreover  $\text{col}(H) \geq \delta(H) + 1$  since the "back-degree" of the last vertex is just its ordinary degree and this is at least  $\delta(H)$  so the last vertex will get the color  $\delta(H) + 1$ .

So  $\text{col}(G) \geq \text{col}(H) \geq \delta(H) + 1 \quad \forall H \subseteq G$

$\Rightarrow \text{col}(G) \geq \max \{ \delta(H) : H \subseteq G \} + 1$

□